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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. 16869P024300 SHINDOU, KOUSUKE First Inventor METHOD AND SYSTEM FOR CONTROLLING A LOAD ON A Title Express Mail Label No. | EL 474 300 034 US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

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See MPEP c	hapter 600 concerning design p	atent application contents.	Washington, DC 20231					
2. A S S (p	ree Transmittal Form (e.g., Fount an original and a duplical applicant claims small entity see 37 CFR 1.27. Specification preferred arrangement set forth a Descriptive title of the Invention Cross References to Related As Statement Regarding Fed sponse Reference to sequence listing, a a computer program listing apper an experience of the Invention Brief Summary of the Invention Brief Description of the Drawing Detailed Description Claim(s) Abstract of the Disclosure	te for fee processing) status. [Total Pages 15] below) oplications sored R & D a table, or endix	7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. ☐ Computer Readable Form (CRF) b. Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper number of pages c. ☐ Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. ☒ Assignment Papers (cover sheet & document(s)) 10. ☐ 37 C.F.R.§3.73(b)Statement ☐ Power of (when there is an assignee) Attorney					
5. Oath or a. b. i. 6. App 18. If a CON or in an App Prior app For CONTIN under Box 5	5. Oath or Declaration a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). Statement (IDS)/PTO-1449 Citations Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent							
reference. T	he incorporation can only be			omitted	from the su	ibmitted application pa	rts.	
☐ Custon	19. CORRESPONDENCE ADDRESS ☐ Customer Number or Bar Code Label 20350 (Insert Customer No. or Attach bar code label here)							
Name	Townsend and Townsend	and Crew LLP						
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City	San Francisco State		CA	Zip	Code	94111		
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Name (Pr	rint/Type) Chun-Pok	Leung	Registration No. (Attorney/Agent) 41,405					
Signature	, /	- Ch / 1	4		Date	9-25-01		

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FEE TO ANO	BAITTAL	Complete if Known				
FEE TRANS		Application Number				
for FY 2	2001	Filing Date	September 25, 2001			
		First Named Inventor	SHINDOU, KOUSUKE			
Patent fees are subject to	annual revision.	Examiner Name				
		Group Art Unit				
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Accou Numb		20-14	130			105	130	205	65	Surcharge - late filing fee or oath	1 1 1
		L				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Depo:		Townse	nd and	Townsend and	Crew LLP	139	130	139	130	Non-English specification	
Name	:	L				147	2,520	147	2,520	For filing a request for reexamination	
(harge Any Inder 37 C	FR 1.16 a	nd 1.1	7		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant claims small entity status. See 37 CFR 1.27					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. 🛘	Paymen	t Enclos	ed:			115	110	215	55	Extension for reply within first month	
	Check	☐ Credi	it card	☐ Money Order	☐ Other	116	390	216	195	Extension for reply within second month	
				LCULATION		117	890	217	445	Extension for reply within third month	
1. B	ASIC FIL		EE CA	CCULATION		118	1,390	218	695	Extension for reply within fourth month	
Large	Entity S	mall Er	ntity			128	1,890	228	945	Extension for reply within fifth month	
Fee				Fee Description		119	310	219	155	Notice of Appeal	
Code		ode (\$	•		Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
101				Utility filing fee	710	121	270	221	135	Request for oral hearing	
106 107				Design filing fee Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710 2	08 3	55	Reissue filing fee	•	140	110	240	55	Petition to revive unavoidable	
114	150 2	14 7	5	Provisional filing	fee	141	1,240	241	620	Petition to revive - unintentional	
		eun		44)	(6)740	142	1,240	242	620	Utility issue fee (or reissue)	
		508	TOTAL	- (1)	(\$)710	143	440	243	220	Design issue fee	
2. EXTR	A CLAIM	FEES				144	600	244	300	Plant issue fee	
					e from Fee	122	130	122	130	Petitions to the Commissioner	L
Total Claim		20**		Claims bel	ow Paid 18 = \$0	123	50	123	50	Petitions related to provisional applications	
Independent Claims	7	-3**	= 4	4 X \$	80 = \$320	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		_		× [=	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Fee	Entity Fee	Smail Fee	Entity Fee	/ Fee Descript	ion	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 103	(\$) 18	Code 203	(\$) 9	Claims in exc		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102	80	202	40	Independent	claims in excess of 3	179	710	279	355	Request for Continued Examination (RCE)	
104	270	204	135	Multiple depe	ndent claim, if not paid	1 11					
109	80	209	40	** Reissue ind original paten	dependent claims over t	169	900	169	900	Request for expedited examination of a design application	
110	18	210	9	** Reissue cla over original p	aims in excess of 20 and patent	1	ee (specit	•			
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**or numb	per previou	siy paid, if	greater;	For Reissues, se	e above	1				L	

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Chun-Pok Leung	Registration No. (Attorney/Agent)	41,405	Telephone	650-326-2400		
Signature		-Ch/du		Date	9-25-01		

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